

**CITY OF FRANKLIN, TENNESSEE  
PROFESSIONAL SERVICES AGREEMENT  
COF Contract No. 2017-0125**

THIS PROFESSIONAL SERVICES AGREEMENT (“Agreement”) is by and between the City of Franklin, Tennessee, hereinafter referenced as City, and **CIVIL & ENVIRONMENTAL CONSULTANTS, INC.** hereinafter referenced as Consultant, who mutually agree as follows:

**DECLARATIONS.** City desires to retain Consultant to provide engineering, related technical, and other services in connection with City’s project hereinafter referenced as Project. The Project is described as follows:

**East McEwen Drive Phase 4 Improvements**  
**Preliminary Engineering – National Environmental Policy Act (PE-NEPA)**  
**Phase**

1. **SCOPE OF SERVICES.** Consultant shall provide engineering, related technical services, and/or surveying services for the Project in accordance with the Scope of Services (Services) as found in Attachment A which shall be considered as an integral part hereof.
2. Consultant shall submit as a part of Attachment A an individual Fee Schedule and a Completion Schedule for the Project based on the detailed Scope of Services.
3. In event of a conflict between this Agreement and the attached document(s), this Agreement shall supersede conflicting terms and conditions.
4. Consultant shall be paid on a monthly basis for work performed based on the Fee Schedule as contained in Attachment A in the Amount Not-to-Exceed **One Hundred Twenty-Five Thousand Seventeen and 96/100 Dollars (\$125,017.96)**.

The Board of Mayor and Aldermen Approved this Agreement on the \_\_\_\_\_ Day of \_\_\_\_\_ 201\_\_.

## TERMS AND CONDITIONS FOR PROFESSIONAL SERVICES

### ARTICLE 1. SERVICES. Consultant will:

- 1.1 Act for City in a professional manner, using that degree of care and skill ordinarily exercised by and consistent with standards of competent consultants using the standards in the industry:
- 1.2 Consider all reports to be confidential and distribute copies of the same only to those persons specifically designated by the City.
- 1.3 Perform all services under the general direction of a senior professional employee, licensed and/or registered in the State of Tennessee, when appropriate.
- 1.4 Designate, in writing, the sole Project representative to coordinate with City the Services to be provided, including all contact information.
- 1.5 Unless provided for in the Project Scope of Services (Attachment A), Consultant shall perform all Services with his own forces (employees). Should sub-consultants be proposed to be used in the Project, a listing of said sub-consultants with Services to be performed shall be provided. After approval of this Agreement, no substitute for sub-consultants shall be allowed unless approved by City.
- 1.6 Retain pertinent records relating to the services performed for a period of seven (7) years following the completion of the work; during this period the records shall be available for review by City at all reasonable times.

### ARTICLE 2. CITY'S RESPONSIBILITIES. City, or its authorized representative, will:

- 2.1 Provide Consultant with all information regarding the Project, which is available to, or reasonably obtainable by, the City.
- 2.2 Furnish right-of-entry onto the Project site for Consultant's necessary field studies and surveys. Consultant will endeavor to restore the site to its original condition and shall remain solely liable for all damages, costs and expenses, including reasonable attorneys' fees, for failure to make such restoration.
- 2.3 Designate, in writing, the sole Project representative to coordinate with and direct the Consultant, including all contact information.
- 2.4 Guarantee to Consultant that it has the legal capacity to enter into this contract and that sufficient monies are available to fund Consultant's compensation.

### ARTICLE 3. GENERAL CONDITIONS.

- 3.1 Consultant, by the performance of services covered hereunder, does not in any way assume, abridge or abrogate any of those duties, responsibilities or authorities customarily vested in other professionals or agencies participating in the Project.

- 3.2 Consultant shall be responsible for the acts or omissions of any party involved in concurrent or subsequent phases of the Project acting upon written instruction issued by the Consultant.
- 3.3 Neither City nor Consultant may assign or transfer its duties or interest in this Agreement without written consent of the other party.
- 3.4 **ALLOCATION OF RISK AND LIABILITY; GENERAL.** Considering the potential liabilities that may exist during the performance of the services of this Agreement, the relative benefits and risks of the Project, and the Consultant's fee for the services rendered, and in consideration of the promises contained in this Agreement, the City and the Consultant agree to allocate and limit such liabilities in accordance with this Article.
- 3.5 **INDEMNIFICATION.** Consultant agrees to indemnify and hold City harmless from and against legal liability for all judgments, losses, damages, and expenses to the extent such judgments, losses, damages, or expenses are caused by Consultant's negligent act, error or omission in the performance of the services of this Agreement. In the event judgments, losses, damages, or expenses are caused by the joint or concurrent negligence of Consultant and City, they shall be borne by each party in proportion to its own negligence.
- 3.5.1 **SURVIVAL.** The terms and conditions of this paragraph shall survive completion of this services agreement.
- 3.6 **LIMITATIONS OF RESPONSIBILITY.** Consultant shall not be responsible for (a) construction means, methods, techniques, sequences, procedures, or safety precautions and programs in connection with the Project unless specifically undertaken in Attachment A, Scope of Services ; (b) the failure of any contractor, subcontractor, Consultant, or other Project participant, not under contract to Consultant, to fulfill contractual responsibilities to City or to comply with federal, state, or local laws, regulations, and codes; or (c) procuring permits, certificates, and licenses required for any construction unless such procurement responsibilities are specifically assigned to Consultant in Attachment A, Scope of Services.

**ARTICLE 4. TERMINATION BY THE CITY.** The City may terminate this Agreement in accordance with the following terms and conditions:

- 4.1 **Termination for Convenience.** The City may, when in the interests of the City, terminate performance under this Agreement with the Consultant, in whole or in part, for the convenience of the City. The City shall give written notice of such termination to the Consultant specifying when termination becomes effective. The Consultant shall incur no further obligations in connection with the work so terminated, other than warranties and guarantees for completed work and installed equipment, and the Consultant shall stop work when such termination becomes

effective. The Consultant shall also terminate outstanding orders and subcontracts for the affected work. The Consultant shall settle the liabilities and claims arising out of the termination of subcontracts and orders. The City may direct the Consultant to assign the Consultant's right, title and interest under termination orders or subcontracts to the City or its designee. The Consultant shall transfer title and deliver to the City such completed or partially completed work and materials, equipment, parts, fixtures, information and Contract rights as the Consultant has in its possession or control. When terminated for convenience, the Consultant shall be compensated as follows:

- (1) The Consultant shall submit a termination claim to the City specifying the amounts due because of the termination for convenience together with costs, pricing or other data required by the City. If the Consultant fails to file a termination claim within one (1) year from the effective date of termination, the City shall pay the Consultant the amount the City deems the Consultant is due.
- (2) The City and the Consultant may agree to the compensation, if any, due to the Consultant hereunder.
- (3) Absent agreement to the amount due to the Consultant, the City shall pay the Consultant the following amounts:
  - (a) Contract costs for labor, materials, equipment and other services accepted under this Agreement;
  - (b) Reasonable costs incurred in preparing to perform and in performing the terminated portion of the work, and in terminating the Consultant's performance, plus a fair and reasonable allowance for direct job site overhead and earned profit thereon (such profit shall not include anticipated profit or consequential damages); provided however, that if it reasonably appears that the Consultant would have not profited or would have sustained a loss if the entire Agreement would have been completed, no profit shall be allowed or included and the amount of compensation shall be reduced to reflect the anticipated rate of loss, if any;

The total sum to be paid the Consultant under this Section shall not exceed the total Agreement Price, as properly adjusted, reduced by the amount of payments otherwise made, and shall in no event include duplication of payment.

- 4.2 Termination for Cause. If the Consultant does not perform the work, or any part thereof, in a timely manner, supply adequate labor, supervisory personnel or proper equipment or materials, or if it fails to timely discharge its obligations for labor, equipment and materials, or proceeds to disobey applicable law, or otherwise commits a violation of a material provision of this Agreement, then the City, in addition to any other rights it may have against the Consultant or others, may terminate the performance of the Consultant, in whole or in part at the City's sole option, and assume possession of the Project Plans and materials and may complete the work.

In such case, the Consultant shall not be paid further until the work is complete. After Completion has been achieved, if any portion of the Contract Price, as it may be modified hereunder, remains after the cost to the City of completing the work, including all costs and expenses of every nature incurred, has been deducted by the City, such remainder shall belong to the Consultant. Otherwise, the Consultant shall pay and make whole the City for such cost. This obligation for payment shall survive the termination of the Agreement.

In the event the employment of the Consultant is terminated by the City for cause pursuant to this Section and it is subsequently determined by a Court of competent jurisdiction that such termination was without cause, such termination shall thereupon be deemed a Termination for Convenience under this Section and the provisions of Section 4.1 shall apply.

- 4.3 Termination for Non-Appropriation. The City may also terminate this Agreement, in whole or in part, for non-appropriation of sufficient funds to complete or partially complete the Project, regardless of the source of such funds, and such termination shall be on the terms of Section 4.1.
- 4.4 The City's rights under this Section shall be in addition to those contained elsewhere herein or provided by law.

**ARTICLE 5. SCOPE OF SERVICES.** Consultant shall provide the Services as described in Attachment A, Scope of Services.

- 5.1 By mutual agreement, this Agreement and scope can be amended by the parties. The scope and fee for any additional tasks or services under such

amendment shall be mutually negotiated and agreed to in writing prior to beginning such additional tasks or services.

**5.2 ENVIRONMENTAL RESPONSIBILITY.**

Where drilling/sampling services are involved, the samples obtained from the Project site are the property of the City. Should any of these samples be recognized by the Consultant to be contaminated, the City shall remove them from the Consultant's custody and transport them to a disposal site, all in accordance with applicable government statutes, ordinances, and regulations. For all other samples, the Consultant shall retain them for a sixty (60)-day period following the submission of the drilling/sampling report unless the City directs otherwise; thereafter, the Consultant shall discard the samples in accordance with all federal, state and local laws.

**ARTICLE 6. SCHEDULE.**

**6.1 TIME OF THE ESSENCE.** The parties agree that time is of the essence with respect to the parties' performance of all provisions of the Agreement.

**6.2** Before executing this Agreement, the Consultant shall have prepared and submitted for approval to the City a Completion Schedule for the Project with milestones for the various stages (tasks) of the Services as outlined in the Scope of Services. The Consultant shall submit and obtain the City's approval for any proposed changes to the logic, durations, sequences, or timing of tasks as approved in the Completion Schedule.

**6.3 FORCE MAJEURE.** Neither party will be liable to the other for any delay or failure to perform any of the services or obligations set forth in this Agreement due to causes beyond its reasonable control, and performance times will be considered extended for a period of time equivalent to the time lost because of such delay plus a reasonable period of time to allow the parties to recommence performance of their respective obligations hereunder. Should a circumstance of force majeure last more than ninety (90) days, either party may by written notice to the other terminate this Agreement. The term "force majeure" as used herein shall mean the following: acts of God; strikes, lockouts or other industrial disturbances; acts of public enemies; orders or restraints of any kind of the government of the United States or of the State or any of their departments, agencies or officials, or any civil or military authority; insurrections, riots, landslides, earthquakes, fires, storms, tornadoes, droughts, floods, explosions, breakage or accident to machinery, transmission pipes or canals; or any other cause or event not reasonably within the control of either party.

- 6.4 Should City request changes in the scope, extent, or character of the Project, the fee and the time of performance of Consultant's Services as indicated in Attachment A shall be adjusted equitably.

**ARTICLE 7. USE OF DOCUMENTS, DATA.**

7.1 All Documents, including, but not limited to, reports, drawings, specifications, and computer software prepared by Consultant pursuant to this Agreement are instruments of service in respect to the Project. Consultant shall retain an ownership and property interest therein (including the right of reuse at the discretion of the Consultant) whether or not the Project is completed.

7.1.1 **USE OF DATA SYSTEMS:** Ownership, property interests and proprietary rights in data systems used by Consultant do not extend to the data created by or supplied to Consultant by the City; all rights to that data (including derivative or hidden data such as metadata) shall vest solely in City at the moment of creation.

7.1.2 **DISCLOSURE OF DOCUMENTS/DATA.** City may be required to disclose documents or data under state or federal law. City shall notify Consultant if a request for data or documents has been made and shall give Consultant a reasonable opportunity under the circumstances to respond to the request by redacting proprietary or other confidential information. Consultant waives any right to confidentiality of any document, e-mail or file it fails to clearly mark on each page as confidential or proprietary. In exchange, Consultant agrees to indemnify, defend, and hold harmless City for any claims by third parties relating thereto or arising out of (i) the City's failure to disclose such documents or information required to be disclosed by law, or (ii) the City's release of documents as a result of City's reliance upon Consultant representation that materials supplied by Consultant (in full or redacted form) do not contain trade secrets or proprietary information, provided that the City impleads Consultant and Consultant assumes control over that claim.

7.2 By execution of this Agreement, Consultant and his sub-consultant(s) grant the City a royalty-free, perpetual, irrevocable, and assignable license to use any and all intellectual property interest Consultant or his sub-consultant(s) possess to any drawings, details, specifications, documents, and other information created before each of their first involvement with the Project and subsequently incorporated into the Project's documents. City-furnished data that may be relied upon by Consultant is limited to the printed copies that are delivered to the Consultant pursuant to Article 2 of this Agreement. Any copyrighted electronic files furnished by City shall be used by Consultant only for

- the Project as described herein. City's posting or publication of such documents created by Consultant for City shall constitute fair use and shall not constitute an infringement of Consultant's copyright, if any.
- 7.3 Documents that may be relied upon by City are limited to the printed copies (also known as hard copies) that are signed or sealed by the Consultant. Files in electronic media format of text, data, graphics, or of other types that are furnished by Consultant to City are only for convenience of City, unless the delivery of the Project in electronic media format has been dictated in Attachment A, Scope of Services. Any conclusion or information obtained or derived from electronic files provided for convenience will be at the user's sole risk.
- 7.4 Because data stored in electronic media format can deteriorate or be modified inadvertently or otherwise without authorization of the data's creator, the party receiving electronic files agrees that it will perform acceptance tests or procedures within sixty (60) days, after which the receiving party shall be deemed to have accepted the data thus transferred. Any errors detected within the 60-day acceptance period will be corrected by the party delivering the electronic files. Unless stated otherwise herein, Consultant shall not be responsible to maintain documents stored in electronic media format after acceptance by City.
- 7.5 When transferring documents in electronic media format, Consultant makes no representations as to long term compatibility, usability, or readability, of documents resulting from the use of software application packages, operating systems, or computer hardware differing from that as required of, and used by, Consultant at the beginning of this Project.
- 7.6 City may make and retain copies of Documents for information and reference in connection with use on the Project by the City, or his authorized representative. Such Documents are not intended or represented to be suitable for reuse by City or others on extensions of the Project or on any other project. Any such reuse or modifications without written verification or adaptation by Consultant, as appropriate for the specific purpose intended, will be at City's sole risk and without liability or legal exposure to the Consultant or to Consultant's sub-consultants.
- 7.7 If there is a discrepancy between the electronic files and the hard copies, the hard copies govern.
- 7.8 Any verification or adaptation of the Documents for extensions of the Project or for any other project will entitle Consultant to further compensation at rates to be agreed upon by City and Consultant.



## **ARTICLE 8. INSURANCE.**

- 8.1 During the performance of the Services under this Agreement, Consultant shall maintain the following minimum insurance:
- a) General Liability Insurance with a combined single limit of \$1,000,000 per occurrence and \$2,000,000 annual aggregate.
  - b) Automobile Liability Insurance with a combined single limit of \$1,000,000 for each person and \$1,000,000 for each accident.
  - c) Workers' Compensation Insurance Coverage A in accordance with statutory requirements and Coverage B, Employer's Liability Insurance, with a limit of \$500,000 for each occurrence.
  - d) Professional Liability Insurance with a limit of \$1,000,000 annual aggregate.
- 8.2 Consultant shall add the City an additional insured on all policies unless otherwise prohibited.
- 8.3 Consultant shall, upon execution of this Agreement, furnish City certificates of insurance, which shall include a provision that such insurance shall not be canceled without at least thirty (30) days' written notice to City.
- 8.4 No insurance, of whatever kind or type is to be considered as in any way limiting other parties' responsibility for damages resulting from their activities in the execution of the Project. City agrees to include, or cause to be included, in the Project's construction contract, such requirements for insurance coverage and performance bonds by the Project's construction contractor as City deems adequate to indemnify City, Consultant, and other concerned parties against claims for damages and to insure compliance of work performance and materials with Project requirements.

## **ARTICLE 9. PAYMENT.**

- 9.1 City will pay Consultant for services and expenses in accordance with the Fee Schedule proposal submitted for the Project as part of the Scope of Services. Consultant's invoices will be presented at the completion of the work or monthly and will be payable upon receipt. Payment is due upon presentation of invoice and is past due thirty (30) days from invoice date. City shall give prompt written notice of any disputed amount and shall pay the remaining amount.
- 9.2 Consultant shall be paid in full for all services under this Agreement, including City authorized overruns of the Project budget or unforeseen need for Consultant's services exceeding the original Scope of Services.
- 9.3 **TRAVEL; EXPENSES**  
City shall reimburse reasonable expenses, including travel and meals, when specified in the Scope of Services, but only in accordance with the City's Travel and Expense Policy and Procedures Manual. The maximum amount will be applied as of the date of travel and as listed

in the per diem reimbursement rates on the “CONUS” website developed by the United States General Services Administration, located at [www.gsa.gov](http://www.gsa.gov) [click on ‘per diem rates’ under the ‘etools’ category].

## **ARTICLE 10. MISCELLANEOUS PROVISIONS**

- 10.1 **EQUAL EMPLOYMENT OPPORTUNITY.** In connection with this Agreement and the Project, City and Consultant shall not discriminate against any employee or applicant for employment because of race, color, sex, national origin, disability or marital status. City and Consultant will take affirmative action to ensure that the contractor used for the Project does not discriminate against any employee and employees are treated during employment without regard to their race, age, religion, color, gender, national origin, disability or marital status. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination, rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- 10.1.1 Consultant shall insert the foregoing provision in all contracts relating to this Project.
- 10.2 **TITLE VI – CIVIL RIGHTS ACT OF 1964.** City and Consultant shall comply with all the requirements imposed by Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), 49 C.F.R., Part 21, and related statutes and regulations.
- 10.2.1 Consultant shall insert the foregoing provision in all contracts relating to this Project.
- 10.3 **NO THIRD PARTY RIGHTS CREATED.** City and Consultant each binds itself and its successors, executors, administrators, permitted assigns, legal representatives and, in the case of a partnership, its partners, to the other party to this Agreement and to their successors, executors, administrators, permitted assigns, legal representatives and partners of such other party in respect to all provisions of this Agreement. The Services provided for in this Agreement are for the sole use and benefit of City and Consultant. Nothing in this Agreement shall be construed to give any rights or benefits to anyone other than City and Consultant.
- 10.4 **WARRANTIES/LIMITATION OF LIABILITY/WAIVER.** City reserves all rights afforded to local governments under law for all general and implied warranties. City does not waive any rights it may have to all remedies provided by law and therefore any attempt by Consultant to limit its liability shall be void and unenforceable.

**ARTICLE 11. EXTENT OF AGREEMENT:**

- 11.1 **APPLICABLE LAW/CHOICE OF FORUM AND VENUE.** This Agreement is made under and will be construed in accordance with the laws of the State of Tennessee without giving effect to that state's choice of law rules. The parties' choice of forum and venue shall be exclusively in the courts of Williamson County, Tennessee. Any provision of this Agreement held to violate a law or regulation shall be deemed void, and all remaining provisions shall continue in force.
- 11.2 **ENTIRE AGREEMENT.** This Agreement, including these terms and conditions, represent the entire Agreement between City and Consultant for this Project and supersedes all prior negotiations, representations or agreements, written or oral. This Agreement may be amended only by written instrument signed by City and Consultant.

**ARTICLE 12. DISPUTE RESOLUTION, BREACH.**

- 12.1 If a dispute should arise relating to the performance of or payment for the Services under this Agreement, the aggrieved party shall notify the other party of the dispute within a reasonable time after such dispute arises. During the pendency of any dispute, the parties shall continue diligently to fulfill their respective obligations hereunder. No arbitration or mediation shall be required as a condition precedent to filing any legal claim arising out of or relating to this Agreement. No arbitration or mediation shall be binding.
- 12.2 **BREACH.** Upon deliberate breach of the Agreement by either party, the non-breaching party shall be entitled to terminate the Agreement with notice, with all of the remedies it would have in the event of termination, and may also have such other remedies as it may be entitled to in law or in equity.

**ARTICLE 13. SURVIVAL.**

The provisions contained in this Professional Services Agreement shall survive the completion of or any termination of the Agreement, contract or other document to which it may accompany or incorporate by reference or which subsequently may be modified, unless expressly excepted from this Article upon consent of both parties.

CONSULTANT

CITY OF FRANKLIN

BY: \_\_\_\_\_  
Consultant's Signature  
TITLE: \_\_\_\_\_  
Date: \_\_\_\_\_

BY: \_\_\_\_\_  
Dr. Ken Moore  
Mayor  
Date: \_\_\_\_\_

Approved as to Form:

\_\_\_\_\_  
Kristen L. Corn, Assistant City Attorney



May 17, 2017

Jonathan Marston  
Assistant Director of Engineering  
City of Franklin  
109 Third Avenue South  
Franklin, TN 37064

Dear Mr. Marston:

**Subject:** National Environmental Protection Act (PE NEPA)  
East McEwen Drive Phase 4 Improvements – Environmental Review  
in Pursuit of a D-Listed Categorical Exclusion  
(TDOT PIN 125418.00)  
CEC Project 171-024

Civil & Environmental Consultants, Inc. (CEC) is pleased to present our proposed scope to perform an environmental review in pursuit of a D-Listed Categorical Exclusion (CATEX) in accordance with National Environmental Policy Act (NEPA) and the Tennessee Environmental Procedures Manual (TEPM) at the above-referenced location. The CATEX is to be sought for the entire 1.5 mile proposed widening of McEwen Drive located on the eastern side of the City of Franklin. The following is the scope of services for the project.

## **1.0 PURPOSE OF THE PROJECT**

The purpose of this Scope of Work (SOW) is to prepare a D-List CATEX document pursuant to the National Environmental Policy Act (NEPA) to analyze the potential impacts to the human and natural environment for the proposed 1.5-mile widening of McEwen Drive in Franklin, Williamson County Tennessee. This CATEX document will also include National Historic Preservation Act – sec 106, Endangered Species Act – Section 7, and USDOT sec 4f evaluation/documentation.

The D-List CATEX document will be prepared per Council of Environmental Quality (CEQ), Federal Highway Administration (FHWA) and Tennessee Department of Transportation (TDOT) NEPA regulations and guidance documents. The Section 106 evaluation will be prepared as per 36 CFR 800, and all the relevant data and analysis will be prepared as per the National Historic Preservation Act and procedures described in 36 CFR 800. Endangered Species be considered in accordance with Section 7 of the Endangered Species Act. The Section 4(f) evaluation will be prepared as per U.S DOT regulations.

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## **2.0 STUDY AREA**

The study area for this project is from 800 feet east of Cool Springs Boulevard/Oxford Glen Drive to Wilson Pike (SR-252). CEC's limit of investigation will be 250 feet on each side of the centerline of the proposed alignment for a total of 500 feet.

## **3.0 SCOPE OF SERVICES**

The purpose of this project is to perform an environmental review and coordination in pursuit of a Categorical Exclusion. The following tasks will be conducted for this project.

1. Project Management
2. Purpose and Need Statement
3. Data Collection
4. Environmental Assessment/Environmental Impacts
5. Draft D-List CATEX
6. Final D-List CATEX

NEPA, AASHTO, FHWA, Federal, State and Local rules and regulations will be followed in the task of the project.

### **3.1 Task 1 - Project Management (All Tasks)**

CEC will provide monthly invoices to the City of Franklin's project manager for approval and timely payment. Along with invoices, CEC will prepare and submit monthly progress reports to the City of Franklin's project manager, which will include the task accomplishments, minutes from meetings held, hard copies of all materials developed that month, status of deliverables, expected activities for the next period, issues for resolution and the responsible party, and problems and their disposition from the previous period. CEC shall meet with the City of Franklin's project management staff monthly and provide project progress reports throughout the life of the project.

### **3.2 Task 2 - Develop Purpose and Need**

CEC will develop a draft Purpose and Need Statement in close coordination with agency staff and other key stakeholders. The Purpose and Need statement will be consistent with guidance available through the FHWA technical advisory.

### **3.3 Task 3 - Data Collection**

As part of the preparation of the environmental documentation, individual technical studies will be considered and if required, undertaken to analyze and assess the existing conditions and environmental consequences of the potential impacts of the proposed project. Data collection will be carried out, at varied degrees, according to NEPA, Federal, and State regulations and requirements and may include:

1. Social impacts –Environmental Justice Impacts

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2. Economic Impacts
3. Land Use Impacts
4. Local Accessibility and Travel Patterns
5. Public Facilities and Services
6. Relocation Impacts
7. Section 4(f) of the Department of Transportation Act of 1966
8. Section 6(f) of the Land and Water Conservation Fund Act of 1966
9. Air Quality Analysis
10. Noise Impacts Evaluation
11. Impacts on Cultural Preservation (Section 106) – Archaeological Resources and Historical Resources
12. Farmland Protection
13. Ecological Impacts
14. Terrestrial
15. Aquatic
16. Wetlands
17. Floodplain Impacts
18. Endangered and Threatened Species (Section 7)
19. Hazardous Waste Impacts
20. Indirect and Cumulative Impacts

#### 3.4 Task 4 - Assessment/Environmental Impacts

Items noted in Task 3 that will require analysis, a brief reader-friendly technical report will be prepared. This summary will assist in the development of the D-List CATEX. The technical reports will undergo a thorough editorial review prior to formal submittals. These technical reports will be included as appendices to the NEPA document. Coordination with various resource/regulatory agencies as well as outreach to affected stakeholders and public involvement activities will be undertaken as part of the environmental process to achieve timely and efficient identification, evaluation, and resolution of environmental and regulatory issues.

Upon completion of background investigation and onsite reconnaissance, CEC will determine potentially significant issues to be analyzed in the Draft D-List CATEX. The results of the background investigation and reconnaissance will be forwarded to TDOT for comment prior to including them in the CATEX.

#### 3.5 Task 5 – Draft Categorical Exclusion

CEC staff will prepare a Draft D-List CATEX for submittal to TDOT for review and comment, as required by the TDOT Project Manager.

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### 3.6 Task 6 – Final Categorical Exclusion

Upon receiving comments on the Draft CATEX from TDOT, CEC will finalize the CATEX document. CEC intends and has allotted for one (1) revision of the Draft CATEX to produce the Final CATEX following review comments from TDOT and its agents.

## 4.0 ASSUMPTIONS

### 4.1 Public Involvement and Interagency Coordination

The CEC team will hold and arrange, if required, at least one public meeting during the project. Agency coordination will include coordination with TDEC, USACE, SHPO, TWRA, USFWS, and other related Federal and State agencies. Frequent agency meetings will be held.

### 4.2 Bat Habitat

CEC understands that the purpose of the project is to gain Indiana bat/Northern long-eared bat clearance to widen east McEwen Drive. In order to gain clearance, the potential impacts to the federally endangered Indiana bat and Federally threatened Northern long-eared bat must be given adequate consideration. CEC is prepared to conduct a mist net survey for the potential tree clearing activity associated along the project area. The proposed survey work is based on the technical criteria outlined in the USFWS 2016 Indiana Bat Summer Survey Guidance.

If the Indiana and/or Northern long-eared bat are captured in the project alignment, CEC will attach a transmitter and conduct a telemetry survey and emergence counts per the 2016 Bat Survey Guidance. Telemetry and emergence counts are not included in this scope of work and will be re-evaluated if an Indiana and/or Northern long-eared bat are captured.

### 4.3 Historic/Cultural resources

Cultural/Historic Resources evaluation will be performed consistent with the Sec 106 of the National Historic Preservation Act (NHPA). Appropriate Area of Potential Effect (APE) will be developed in consultation with SHPO, FHWA, ACHP (if applicable) and other consulting parties. Appropriate number of Consultation meetings will be held as needed. The Cultural resources report will include: APE, Determination of Eligibilities/Eligibility report, Assessment/Determination of Effects, Minimization/Mitigation measures, and Memorandum of Agreement/Programmatic Agreements of No Adverse Effect Letter (as applicable). If a full archaeological report is required due to encountering an archaeological site, a supplemental fee may be necessary.

## 5.0 SCHEDULE

CEC can begin work upon your oral or written notice to proceed. We estimate Tasks 2 to 4 will take 90 days to complete; however, the response time from the various review agencies and TDOT is unknown. CEC will make every effort keep the agencies responsive in a timely manner. It is assumed that the D-List CATEX can be obtained in under 12 months.



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**6.0 FEE**

Invoicing of professional services for preparation of the Environmental review in support of obtaining a D-List Categorical Exclusion will be on a time and materials basis fee of \$125,017.96. Invoicing of professional services will be in accordance with the TDOT fee estimate (attached).


Your oral or written authorization to proceed will form a binding contract and indicates your acceptance of our proposed scope and fee. CEC will perform the proposed work under an agreed upon City's Standard Professional Services Agreement. CEC is prepared to begin work on this project upon your notification to proceed.


**7.0 CLOSING**

CEC appreciates the opportunity to assist the City of Franklin with the McEwen Road widening project. We believe the scope of services outlined will address the needs of the City of Franklin. If you have any questions or comments in regards to this proposed scope of work, please contact us at 615-333-7797.

Sincerely,

CIVIL & ENVIRONMENTAL CONSULTANTS, INC.

  
Jose Garcia, CHMM  
Project Manager

  
Jeff Duke, PWS, CPESC  
Senior Principal

Enclosures: Environmental Terms & Conditions  
2017 Fee Schedule

TENNESSEE DEPARTMENT OF TRANSPORTATION

MAN-HOUR ESTIMATE AND FEE ESTIMATE

East McEwen Drive Phase 4 Improvements  
PE-NEPA Preliminary Engineering  
  
Franklin, Williamson County

Project Type: **NEPA D-List Categorical Exclusion**  
Assigned Task(s):



**Civil & Environmental Consultants, Inc.**  
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Prepared By:  
Jeff Duke

Date prepared:

5/8/2017

**PIN:** 125418.00



**NEPA D-LIST CATEX STUDIES AND CONSULTING SERVICES FEE ESTIMATE****Project:** East McEwen Drive Phase 4 Improvements**Termini:** PE-NEPA Preliminary Engineering**Client:** Tennessee Department of Transportation**Task I:** D-List Studies and Consulting Services Scope of Work**DIRECT EXPENSES**

Item	Description	Quantity		Units	Unit Cost	Expense
<b>A</b>	<b>Subconsultants, if prior approved</b>					
	<i>specify</i>					
<b>B</b>	<b>Travel and Per Diem</b>					
B-1	Archaeology/Historical	1		Each	\$3,700.00	\$3,700.00
B-2	Mist Nets, Ecology Report, Trimble	8		Per Site	\$100.00	\$800.00
B-3	Meals			Person-Day	\$51.00	
B-4	Mileage	250		Each	\$0.47	\$117.50
B-5	Parking	5		Per Day	\$10.00	\$50.00
<b>C</b>	<b>Contract Plotting</b>	<b>Sheets</b>	<b>Sets</b>			
	<i>specify</i>			Each		
<b>D</b>	<b>Contract Printing</b>	<b>Sheets</b>	<b>Sets</b>			
D-1	Contract Printing (8½" x 11" B/W)	500	1	Each	\$0.15	\$75.00
D-2	Contract Printing (11" x 17" B/W)	500	1	Each	\$0.15	\$75.00
D-3	Contract Printing (8½" x 11" Color)	500	1	Each	\$1.00	\$500.00
D-4	Contract Printing (11" x 17" Color)			Each	\$1.00	
D-5	Contract Printing (24" x 36" Xerox Bond)			Each	\$5.00	
D-6	Contract Printing (30" x 42" Xerox Bond)			Each	\$5.00	
<b>E</b>	<b>Binding</b>	<b>No. In Set</b>	<b>Sets</b>			
E-1	Binders and Covers			Each		
E-2	3-Ring Binders (½")			Each		
E-3	3-Ring Binders (1")			Each		
E-4	3-Ring Binders (2")			Each		
E-5	3-Ring Binders (3")			Each		
E-6	Tabs			Each		
<b>F</b>	<b>Postage &amp; Delivery Service</b>					
F-1	Postage (Letter)			Each		
F-2	Overnight Delivery			Each	\$20.00	
F-3	Other - <i>specify</i>			Each		
<b>Total Expenses=</b>						<b>\$5,317.50</b>

**NEPA D-LIST CATEX STUDIES AND CONSULTING SERVICES FEE ESTIMATE****Project:** East McEwen Drive Phase 4 Improvements**Termini:** PE-NEPA Preliminary Engineering**Client:** Tennessee Department of Transportation**Task:** D-List Studies and Consulting Services Scope of Work**MAN-HOURS**

Scope	Task	Description	Employee Classification and Man-hours						Total
			PIC	SPM	PM	APM	PS	ADM	
	1	TDOT/Franklin/CEC Kick-off Meeting	4	4	4				12
	2	Purpose and Need	4	12	50				66
	3	Public Involvement/Meetings/Prepartion/Materials	16	40	40		40	8	144
	4	Project Alternatives		8	16	20			44
	5	Right-of-Way (ROW) Impacts		8	8				16
Tech Studies	6	Ecology Report			8	40	32	4	84
	7	Listed Species / Bat Survey			2	40	30		72
	8	Listed Agency Coordination (USFWS,TDEC,NH,TWRA)			8	16			24
	9	Floodplain Mgmt.			2	8			10
	10	Farmland			4				4
	11	Wild / Scenic Rivers			2		2		4
	12	Air Quality - (MSAT, PM2, Transportation Conformity)			40				40
	13	Noise			24				24
	14	Section 4(f)			8				8
	15	Section 6(f)			8				8
	16	Section 106 Historical / Cultural Resources	4	20	24	60	160	10	278
	17	Native American Indian Consultations			8			10	18
	18	Hazardous Materials			8				8
	19	Environmental Justice			8				8
	20	Other Issues			16				16
	A	Environmental Commitments			16				16
	B	D-List Cat. Ex. Report	8	8	60		40		116
	C								
	D								
	<b>Miscellaneous</b>								
	1	Administration/coordination / QAQC	8	8	16			8	40
	2	Project Management/Planning/Internal Meetings	4	4	16	8			32
	3								
<b>Total Man-hours</b>			48	112	396	192	304	40	1092

**NEPA D-LIST CATEX STUDIES AND CONSULTING SERVICES FEE ESTIMATE**

**Project:** East McEwen Drive Phase 4 Improvements  
**Termini:** PE-NEPA Preliminary Engineering  
**County:** Franklin, Williamson County  
**Client:** Tennessee Department of Transportation  
**Client Project Manager:** Jonathan Marston, City of Franklin  
**Firm Project Manager:** Jeff Duke  
**Agreement Date:**  
**Agreement No.:**  
**Project Length:** 1.5

**ECOLOGICAL STUDIES FEE ESTIMATE SUMMARY**

**Task: D-List Studies and Consulting Services Scope of Work**

Abbreviation	Personnel Classification	Man-hours	Rate	Amount
PIC	Principal	48	\$81.00	3,888.00
SPM	Senior Project Manager	112	\$50.50	5,656.00
PM	Project Manager	396	\$40.30	15,958.80
APM	Assistant Project Manager	192	\$32.00	6,144.00
PS	Project Scientist	304	\$22.00	6,688.00
ADM	Admin	40	\$19.00	760.00
<b>Totals</b>		1092		39,094.80

1) Direct Labor				\$39,094.80
2) Overhead		Overhead Rate =	177.9800%	\$69,580.93
3) Sub-total (#1 + #2)				\$108,675.73
4) Net Fee (Direct Labor x 2.35 x Net Fee%)		Net Fee Rate =	12%	\$11,024.73
5) Sub-total (#3 + #4)				\$119,700.46
6) Direct Expenses				\$5,317.50
7) TOTAL ESTIMATED FEE				\$125,017.96

Payment remittance address:  
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 P.O. Box 644246  
 Pittsburgh, PA 15264-4246